

Federal Regulatory Groups for Long Term Care Facilities

***Substandard quality of care = one or more deficiencies with s/s levels of F, H, I, J, K, or L in Red Letter Reg. Groups**

Definitions

F150 Definition of a Facility – SNF & NF

Resident Rights: 483.10

F151 Right to Exercise Rights – Free of Reprisal
F152 Rights Exercised by Representative
F153 Right to Access/Purchase Copies of Records
F154 Informed of Health Status, Care & Treatments
F155 Right to Refuse; Formulate Advance Directives
F156 Notice of Rights, Rules, Services, Charges
F157 Notify of Changes (Injury/Decline/Room, Etc)
F158 Right to Manage Own Financial Affairs
F159 Facility Management of Personal Funds
F160 Conveyance of Personal Funds Upon Death
F161 Surety Bond – Security of Personal Funds
F162 Limitation on Charges to Personal Funds
F163 Right to Choose a Personal Physician
F164 Personal Privacy/Confidentiality of Records
F165 Right to Voice Grievances Without Reprisal
F166 Right to Prompt Efforts to Resolve Grievances
F167 Right to Survey Results – Readily Accessible
F168 Right to Info From/Contact Advocate Agencies
F169 Right to Perform Facility Services or Refuse
F170 Right to Privacy – Send/Receive Unopened Mail
F171 Access to Stationary/Postage/Pens, Etc
F172 Right to/Facility Provision of Visitor Access
F173 Allow Ombudsman to Examine Resident Records
F174 Right to Telephone Access with Privacy
F175 Right of Married Couples to Share a Room
F176 Resident Self-Administer Drugs if Deemed Safe
F177 Right to Refuse Certain Transfers

Admission, Transfer & DC: 483.12

F201 Reasons for Transfer/Discharge of Resident
F202 Documentation for Transfer/Discharge of Res
F203 Notice Requirements Before Transfer/Discharge
F204 Preparation for Safe/Orderly Transfer/Discharge
F205 Notice of Bed Hold Policy Before/Upon Transfer
F206 Policy to Permit Readmission Beyond Bed Hold
F207 Equal Practices Regardless of Payment Source
F208 Prohibiting Certain Admission Policies

*Resident Behavior and Facility Practices: 483.13

F221 Right to be Free from Physical Restraints
F222 Right to be Free from Chemical Restraints
F223 Free from Abuse/Involuntary Seclusion
F224 Prohibit Mistreatment/Neglect/Misappropriation
F225 Investigate/Report Allegations/Individuals
F226 Develop/Implement Abuse/Neglect, etc. Policies

*Quality of Life: 483.15

F240 Care and Environment Promotes Quality of Life
F241 Dignity and Respect of Individuality
F242 Self Determination – Right to Make Choices
F243 Right to Participate in Resident/Family Group
F244 Listen/Act on Group Grievance/Recommendation
F245 Participate in Social/Religious Act/Community
F246 Reasonable Accommodation of Needs/Preferences
F247 Right to Notice Before Room/Roommate Change
F248 Activities Meet Interests/Needs of Each Resident
F249 Qualifications of Activity Professional
F250 Provision of Medically Related Social Service
F251 Qualifications of Social Worker > 120 Beds
F252 Safe/Clean/Comfortable/Homelike Environment
F253 Housekeeping and Maintenance Services
F254 Clean Bed/Bath Linens in Good Condition
F256 Adequate and Comfortable Lighting Levels
F257 Comfortable and Safe Temperature Levels
F258 Maintenance of Comfortable Sound Levels

Resident Assessment: 483.20

F271 Admission Physician Orders for Immediate Care
F272 Comprehensive Assessments
F273 Comprehensive Assessment 14 Days after Admit
F274 Comprehensive Assess After Significant Change
F275 Comprehensive Assess At Least Every 12 Months
F276 Quarterly Assessment At Least Every 3 Months
F278 Assessment Accuracy/Coordination/Certified
F279 Develop Comprehensive Care Plans
F280 Right to Participate Planning Care – Revise CP
F281 Services Provided Meet Professional Standards
F282 Services By Qualified Persons/Per Care Plan
F283 Anticipate Discharge: Recap Stay/Final Status
F284 Anticipate Discharge: Post-Discharge Plan
F285 PASRR Requirements for MI & MR
F286 Maintain 15 Months of Resident Assessments
F287 Encoding/Transmitting Resident Assessment

*Quality of Care: 483.25

F309 Provide Care/Services for Highest Well Being
F310 ADLs Do Not Decline Unless Unavoidable
F311 Treatment/Services to Improve/Maintain ADLs
F312 ADL Care Provided for Dependent Residents
F313 Treatment/Devices to Maintain Hearing/Vision
F314 Treatment/Svcs to Prevent/Heal Pressure Ulcers
F315 No Catheter, Prevent UTI, Restore Bladder
F317 No Reduction in ROM Unless Unavoidable
F318 Increase/Prevent Decrease in Range of Motion
F319 Treatment/Svc for Mental Psychosocial Difficulties
F320 No Behavior Difficulties Unless Unavoidable
F321 No NG (Nasogastric) Tube Unless Unavoidable
F322 NG Treatment/Services – Restore Eating Skills
F323 Free of Accident Hazards/Supervision/Devices
F325 Maintain Nutritional Status Unless Unavoidable
F327 Sufficient Fluid to Maintain Hydration
F328 Treatment/Care for Special Needs
F329 Drug Regimen is Free From Unnecessary Drugs
F332 Free of Medication Error Rates of 5% or More
F333 Residents are Free of Significant Med Errors
F334 Influenza and Pneumococcal Immunizations

Federal Regulatory Groups for Long Term Care Facilities

Nursing Services: 483.30

- F353 Sufficient 24 Hour Nursing Staff Per Care Plans
- F354 Waiver – RN 8 Hrs 7 Days/Week, Full Time DON
- F355 Waiver – Licensed Nurses 24 Hr/Day
- F356 Posted Nurse Staffing Information

Dietary Services: 483.35

- F360 Provided Diet Meets Needs of Each Resident
- F361 Qualified Dietician – Director of Food Services
- F362 Sufficient Dietary Support Personnel
- F363 Menus Meet Res Needs/Prep in Advance/Followed
- F364 Nutritive Value/Appeal, Palatable/Prefer Temp
- F365 Food in Form to Meet Individual Needs
- F366 Substitutes of Similar Nutritive Value
- F367 Therapeutic Diet Prescribed by Physician
- F368 Frequency of Meals/Snacks at Bedtime
- F369 Assistive Devices – Eating Equipment/Utensils
- F371 Food Procurement, Store/Prepare/Serve – Sanitary
- F372 Dispose Garbage & Refuse Properly
- F373 Feeding Asst – Training/Supervision/Resident

Physician Services: 483.40

- F385 Residents' Care Supervised By a Physician
- F386 Physician Visits – Review Care/Notes/Orders
- F387 Frequency & Timeliness of Physician Visits
- F388 Personal Visits By Physician, Alternate PA/NP
- F389 Physician for Emergency Care, Available 24 Hours
- F390 Physician Delegation of Tasks in SNFs & NFs

Specialized Rehab Services: 483.45

- F406 Provide/Obtain Specialized Rehab Services
- F407 Rehab Services – Physician Order/Qualified Person

Dental: 483.55

- F411 Routine/Emergency Dental Services in SNFs
- F412 Routine/Emergency Dental Services in NFs

Pharmacy Services: 483.60

- F425 Pharmaceutical Svc – Accurate Procedures, RPH
- F428 Drug Regimen Review, Report Irregular, Act On
- F431 Drug Records, Label/Store Drugs & Biologicals

Infection Control: 483.65

- F441 Infection Control, Prevent Spread, Linens

Physical Environment: 483.70

- F454 Life Safety from Fire
- F455 Emergency Electrical Power System
- F456 Essential Equipment, Safe Operating Condition
- F457 Bedrooms Accommodate No More Than 4 Residents
- F458 Bedrooms Measure at Least 80 Square Ft/Resident
- F459 Bedrooms Have Direct Access to Exit Corridor
- F460 Bedrooms Assure Full Visual Privacy
- F461 Bedrooms – Window/Floor, Bed/Furniture/Closet
- F462 Bedrooms Equipped/Near Lavatory/Toilet
- F463 Resident Call System – Rooms/Toilet/Bath
- F464 Requirements for Dining and Activity Rooms
- F465 Safe/Functional/Sanitary/Comfortable Environment
- F466 Procedures to Ensure Water Availability
- F467 Adequate Outside Ventilation – Window/Mechanic
- F468 Corridors Have Firmly Secured Handrails
- F469 Maintains Effective Pest Control Program

Administration: 483.75

- F490 Effective Administration/Resident Well-Being
- F491 Facility Licensed Under State and Local Laws
- F492 Comply With Federal/State/Local Laws/Prof Std
- F493 Governing Body – Facility Policies/Appoint Admin
- F494 Nurse Aide Work > 4 Mo – Training/Competency
- F495 Nurse Aide Work < 4 Mo – Training/Competency
- F496 Nurse Aide Registry Verification, Retraining
- F497 Nurse Aide Perform Review – 12 Hr/Year In-service
- F498 Nurse Aide Demonstrate Competency/Care Needs
- F499 Employ Qualified FT/PT/Consult Professionals
- F500 Outside Professional Resources – Arrange/Agreement
- F501 Responsibilities of Medical Director
- F502 Provide/Obtain Lab Services – Quality/Timely
- F503 Lab Services – Fac Provided, Referred, Agreement
- F504 Lab Services Only When Ordered by a Physician
- F505 Promptly Notify Physician of Lab Results
- F506 Assist With Transport Arrangements to Lab Svcs
- F507 Lab Reports in Record – Lab Name/Address
- F508 Provide/Obtain Radiology/Diagnostic Services
- F509 Diagnostic Services – Meet Hospital Requirements
- F510 Radiology/Diagnostic Services Only When Ordered
- F511 Radiology Findings – Promptly Notify Physician
- F512 Assist With Transport Arrangements to Radiology
- F513 X-Ray/Diagnostic Report in Record – Sign/Dated
- F514 Resident Records – Complete/Accurate/Accessible
- F515 Retention of Resident Clinical Records
- F516 Release Resident Info, Safeguard Clinical Records
- F517 Written Plans to Meet Emergencies/Disasters
- F518 Train All Staff-Emergency Procedures/Drills
- F519 Transfer Agreement With Hospital
- F520 QAA Committee - Members/Meet Quarterly/Plans
- F522 Disclosure of Ownership Requirements